# (19) World Intellectual Property Organization

International Bureau





(43) International Publication Date 21 October 2004 (21.10.2004)

PCT

## (10) International Publication Number WO 2004/090942 A3

(51) International Patent Classification<sup>7</sup>: C09J 4/02, 163/00, 163/02

C08K 3/08,

(21) International Application Number:

PCT/US2004/009886

(22) International Filing Date: 30 March 2004 (30.03.2004)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 60/458,944

1 April 2003 (01.04.2003) US

(71) Applicant (for all designated States except US): AGUILA TECHNOLOGIES, INC. [US/US]; 310 Via Vera Cruz, Suite 107, San Marcos, CA 92069 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): CAPOTE, Miguel, Albert [US/US]; 4362 Point Reyes Court, Carlsbad, CA 92008 (US). GRIEVE, Alan [US/US]; 4465 Felton St., San Diego, CA 92116 (US).

(74) Agent: NATH, Gary, M.; Nath & Associates PLLC, 1030 15th Street NW, 6th Floor, Washington, DC 20005-1503 (US).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE. KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments
- (88) Date of publication of the international search report: 3 February 2005

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: THERMALLY CONDUCTIVE ADHESIVE COMPOSITION AND PROCESS FOR DEVICE ATTACHMENT

(57) Abstract: Thermally conductive, sinterable, adhesive compositions, free of fugitive solvents, that include a powder of a relatively high melting point metal or metal alloy, a powder of a relatively low melting point metal or metal alloy powder and a thermally curable adhesive flux composition that comprises (i) a polymerizable fluxing agent; (ii) an inerting agent to react with the fluxing agent at elevated temperature, rendering it inert. The fluxing agent preferably comprises a compound with formula RCOOH, wherein R comprises a moiety having one or more polymerizable carbon-carbon double bonds. Optionally, the inventive compositions also include (a) a diluent that is capable of polymerizing with the fluxing agent's polymerizable carbon-carbon double bonds; (b) free radical initiators; (c) a curable resin; and (d) crosslinking agents and accelerators. The compositions can be applied directly onto the surfaces of devices to be joined mechanically and/or electrically and are ideally suited for semiconductor die attachment. During heating, the fluxing agent promotes wetting of the high melting point powder by the molten low melting point powder, causing liquid phase sintering of the powders. The fluxing agent also promotes wetting of the metallizations on the die and substrate by the molten low melting point alloy, providing improved thermal conductivity. Simultaneously, the fluxing agent itself crosslinks to further mechanically bond the adherent surfaces. The absence of fugitive solvents creates a void-free bond.



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US04/09886

A. CLASSIFICATION OF SUBJECT MATTER					
IPC(7) : C08K 03/08; C09J 04/02, 163/00, 163/02					
US CL : 252/183.11; 523/458					
According to International Patent Classification (IPC) or to both national classification and IPC  B. FIELDS SEARCHED					
<del></del>			<del></del>		
Minimum de	ocumentation searched (classification system follow	ed by clas	ssification symbols)		
U.S. : 2	252/183.11; 523/458	•	• ,		
ļ					
Demonstrati				<del></del>	
Documentan	ion searched other than minimum documentation to	the exten	t that such documents are includ	ed in the fields searched	
<u></u>					
Florencia					
CAC Online	ata base consulted during the international search (n : Files REG and CAPLUS	ame of d	ata base and, where practicable,	search terms used)	
CAS OMME	Files REG and CAPLOS				
f					
C. DOC	UMENTS CONSIDERED TO BE RELEVANT				
Category *	Citation of document, with indication, where	approprie	ate of the relevant passages	Relevant to claim No.	
Y	US 5,985,456 A (ZHOU et al.) 16 November 199	0 (16 11	1000) solven d lines 14 10.		
•	column 8, lines 34-37 and column 9, lines 47-63.	9 (10.11.	1999), column 4, lines 14-18;	1-3 and 5	
A	PCT WO/8/08362 A1 (CRAIG) 26 February 1998	(26 M 1	1008) maga 0 lina 28 ta acce	10-15	
	10, line 4; page 12, lines 20-33 and page 14.	(20.02.)	1996), page 9, Ime 26 to page	1-3 and 5	
Α	US 5,652,042 A (KAWAKITA et al.) 29 July 199	7 (20 07	1007) golumn 2 lines 14 24	1-3 and 5	
	,, (	, (25.01.	1557), column 2, mics 14-34.	1-5 and 5	
Α	US 6,458,472 A (KONARSKI et al.) 01 October 2	2002 (01	10.2002) column 4 lines 26.	1-3 and 5	
	31 and column 5, lines 32-42.	.002 (01.	20.2002), 001dilli 4, 11105 20-	1-3 and 3	
İ	·			É	
į				[	
				[	
Ī					
Į.					
1					
	1				
Further documents are listed in the continuation of Box C. See patent family annex.					
* Special categories of cited documents: "T" later document published after the international filing date or pri				mational filing date or priority	
"A" document	defining the general state of the art which is not considered to be		date and not in conflict with the applic principle or theory underlying the inve	ation but cited to understand the	
	ar relevance		principle of theory underlying the mive	attion	
"B" earlier and	direction on nature multiplied on an Acade in the second of the second	"X"	document of particular relevance; the	claimed invention cannot be	
n carner app	lication or patent published on or after the international filing date		considered novel or cannot be consider when the document is taken alone	red to involve an inventive step	
"L" document	which may throw doubts on priority claim(s) or which is cited to		when the document is taken andies		
establish ti specified)	ne publication date of another citation or other special reason (as	"Y"	document of particular relevance; the		
• •			considered to involve an inventive step combined with one or more other such	documents such combination	
"O" document	referring to an oral disclosure, use, exhibition or other means		being obvious to a person skilled in the		
"P" document i	published prior to the international filing date but later than the	"&r"	document member of the same patent f	hanib.	
priority dai	te claimed		accument member of the same patent	aniny	
Date of the ac	tual completion of the international search	Data of	mailing of the interesting 1		
The state of the s		Date of mailing of the international search report			
16 November 2004 (16.11.2004)		9	3 NOV 2004		
Name and mailing address of the ISA/US			zed officer	1 111	
Mail Stop PCT, Attn: ISA/US			17 1		
Commissioner for Patents			Sellers //////////	11/1/	
P.O. Box 1450 Alexandria, Virginia 22313-1450 Tele			one No. (703) 309 1102 "	WIW	
Alexandria, Virginia 22313-1450 Telephone No. (703) 308-1193 Facsimile No. (703) 305-3230					
	<u>· · · · · · · · · · · · · · · · · · · </u>			·	
orm PCT/ISA/	210 (second sheet) (January 2004)				

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US04/09886

Box No. II sheet)	
This internal reasons:	tional search report has not been established in respect of certain claims under Article 17(2)(a) for the following
1.	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2.	Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule
Box No. III	Observations where unity of invention is lacking (Continuation of item 3 of first sheet)
An l October 2004	ional Searching Authority found multiple inventions in this international application, as follows: Invitation to Pay Additional Fees, Form PCT/ISA/206, setting forth a lack of unity of invention was mailed on 04.  No response has been received within the 15 day response period from the date of mailing. Accordingly, no slave been paid and only the first invention of claims 1-5 is hereby considered.
2	As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
Remark on Pr	No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: 1-5  otest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.